



<b>FEE \$115.00</b> GST Incl GST No. 10-386-896
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SR20: 08-13

## NOTICE OF CHANGE OF OWNERSHIP OF A HORSE

PLEASE FOLLOW STEPS 1 – 8 TO COMPLETE FORM CORRECTLY.  
 PLEASE NOTE INCORRECT FORMS ARE SUBJECT TO PROCESSING DELAYS

1. HORSE DETAILS		
Name:	Purchase / Transfer Date:	
Sire:	Dam:	
Age:	Sex: Colt <input type="checkbox"/> Filly <input type="checkbox"/> Mare <input type="checkbox"/> Gelding <input type="checkbox"/> Gelding date if known: _____	Colour: Bay <input type="checkbox"/> Black <input type="checkbox"/> Brown <input type="checkbox"/> Chestnut <input type="checkbox"/> Grey <input type="checkbox"/> Other: _____

2. IDENTIFY HORSE (All brands to be completed clearly)	
Near Shoulder (LEFT) Cipher Brand	Off Shoulder (RIGHT) Numerical Brand

3. DECLARATION
<p>By signing and submitting this form to NZTR I/we certify we have read the form and that all of the information, <b>including the horse identification by physical inspection of the horse</b>, I/we have set out on this form is true and correct, and I/we acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me/us being prosecuted under the Rules of Racing or otherwise. I/we confirm with the requirements listed therein and that we are:</p> <p>(a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race.</p> <p>I/we, as the Owner(s) of the thoroughbred acknowledge and agree that the Register of registered horses and Owners kept by NZTR is prima facie evidence of the registered Owner(s) of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse.  <b>I/we authorise NZTR to use the information collected from me/us for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate.</b></p>

4. PAYMENT DETAILS
<p>I would like to pay by Bank Deposit: <input type="checkbox"/>      Date Deposited: _____      Reference Used: _____</p> <p>New Zealand Thoroughbred Racing Inc - Bank Account Number <b>01-0517-0063944-00</b>. (Please use your name &amp; form type as reference)</p> <p>Please charge my:      Mastercard <input type="checkbox"/>      Visa <input type="checkbox"/>      Amex <input type="checkbox"/>      Diners Club <input type="checkbox"/></p> <p>Card No: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>      Expiry: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/></p> <p>Cardholder's Name: _____      Signature: _____</p> <p>My Cheque is enclosed for \$ _____ (Payable to New Zealand Thoroughbred Racing)</p>

**When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records.**

OFFICE USE	Date: Received:	Amount Paid: \$	Payment Coding:

Horse Name:

Sire:

Dam:

**5. SELLER DETAILS**

Must be completed by ALL persons who have a legal or beneficial ownership interest in the horse, where an owner is a legal entity (e.g. company), use form SR13 to specify all shareholders and directors. Where an owner is a collection of persons (e.g. syndicate or partnership) specify each person comprising that collection of persons below.

1. Full Name:	Signature:
2. Full Name:	Signature:
3. Full Name:	Signature:
4. Full Name:	Signature:
5. Full Name:	Signature:
6. Full Name:	Signature:
7. Full Name:	Signature:
8. Full Name:	Signature:
9. Full Name:	Signature:
10. Full Name:	Signature:

**6. RACING MANAGER**

Must be completed by the owner of the horse, or by the appointed Racing Manager if two or more persons own the horse or where the horse is owned by a legal entity (e.g. Company). Details of the legal entity must be entered in section 7.

Is there a current Racing Manager registered with NZTR for this horse? YES  → Is the racing manager staying the same? If YES → Sign bottom of this section  
NO  → Confirm racing manager below If NO → Confirm racing manager below

I accept appointment as Racing Manager for the abovementioned horse and agree to act on the owners' behalf in all matters, as required under Rules 425-430 of the New Zealand Rules of Racing, including the provision of NZTR Owners Settlement Accounts. I authorise NZTR to use the information collected from me to carry out a credit check and for other any purpose which, in accordance with its privacy policy it may in its discretion think appropriate.

Title:	First Name(s):	Surname:	Date of Birth:
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Postal address:

Email Address:

Telephone – Home:

Telephone – Mobile:

Are you GST registered for racing?

YES NO 

If YES →

Confirm your GST number:

Do you want to exclude this horse from GST registration?

YES NO 

The NZTR Owners Settlement Account for the abovementioned horse will be sent to the Racing Manager either by email or to the postal address above. Please confirm whether you wish to receive the Settlement Account by email or post: **By email**  **By post**

Please advise if there is an email address to which you would like **copies** of the NZTR Owners Settlement Accounts for the abovementioned horse also to be sent to (e.g. your accountant):

Email for copies of NZTR Owners Settlement Accounts: \_\_\_\_\_

Bank Account Number for direct credit of stakes:

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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The Settlement Account can also be managed online via [www.nzracing.co.nz](http://www.nzracing.co.nz), email [TROSA@nzracing.co.nz](mailto:TROSA@nzracing.co.nz) for access to this service.

Payment of all accounts held in your name with NZTR, which relate to fees including (but not limited to) nomination, acceptance and jockey fees is due by the 20<sup>th</sup> day of the month following the month in which the debt is incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment is received in full. In the event NZTR engages a debt collection agency to collect unpaid amounts from you, you will also be liable to pay to NZTR the reasonable collection costs it incurs to do so. The full NZTR Credit Policy is available from the NZTR website [www.nzracing.co.nz](http://www.nzracing.co.nz).

**Declaration:** By signing and submitting this form to NZTR I certify I have read the form and that all of the information I have set out on this form is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am: (a) Eligible to enter on a racecourse (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race.

I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse.

I authorise NZTR to use the information collected from me for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate. If you do **not** wish your information to be disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.

Signed:

% Owned:  
(if applicable)
 %

Date:

Horse Name:

Sire:

Dam:

### 7. NEW OWNER DETAILS

Must be completed by ALL persons that have a legal or beneficial ownership interest in the horse. (E.g. every person in a syndicate or partnership). If any of the person(s) who owns the horse is a legal entity (e.g. a company) please complete form SR13 specifying all shareholders, directors (add additional page SR20B if required).

Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <u>RACING</u> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		

Horse Name:

Sire:

Dam:

Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <b>RACING</b> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		
Name:		Signature:
Address:		Phone:
Email:	% Owned:	Date of Birth:
Are you GST Registered for <b>RACING</b> ? NO <input type="checkbox"/> YES <input type="checkbox"/> GST Number _____ Do you want to exclude this horse from GST Registration NO <input type="checkbox"/> YES <input type="checkbox"/>		

**8. FACEBOOK NAME (no more than 10 names as per RULE 424 (1) and (2) )**

As a result of the change in ownership will the facebook name in relation to the owners of this horse change from that currently registered?

NO  YES

IF YES: Please state the name (s) which is/are to appear as owner(s) in publications and other media forms. For the avoidance of doubt, an ownership name may include the term "syndicate" "partnership", or "trust", etc if the owners wish to use that term to describe their relationship or the nature of their ownership interest in the horse. E.g. the facebook name for the owners could be ABC Syndicate, ABC Partnership, or it could be A, B & C Smith.

IMPORTANT: Is this a syndicate? NO  YES  If yes are you GST registered for racing? ? NO  YES  If yes GST number: \_\_\_\_\_

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.

Colours horse will race in	
Registered owner of colours	

If the colours are not already registered, NZTR approval of the design is required – form SR4)

**PRIVACY ACT 1993**

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services. NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address. If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.